

1 **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

2 Serial No.10/803,070
3 Filing Date3/16/2004
4 Confirmation No5175
5 InventorshipAlbert S. Wang
6 ApplicantMicrosoft Corporation
Group Art Unit2613
7 ExaminerNHON THANH DIEP
8 Attorney's Docket No.MS1-0801USC4
9 Title: Digital Video Signal Encoder and Encoding Method
10
11

12 **INFORMATION DISCLOSURE STATEMENT**

13 *References – See Attached Form PTO-1449*

14 **REMARKS**

15 The citations listed are submitted in compliance with the duty of disclosure
16 defined in 37 CFR §1.56. The Examiner is requested to make these citations of
17 official record in this application.
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Respectfully Submitted,

Date: 2/28/06

By: 

Paul W. Mitchell
Reg. No. 44453

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

| | |
|------------------------|-----------------|
| Application Number | 10803070 |
| Filing Date | 2004-03-16 |
| First Named Inventor | Albert S. Wang |
| Art Unit | 2613 |
| Examiner Name | NHON THANH DIEP |
| Attorney Docket Number | MS1-0801USC4 |

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.


OR

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

- ☒ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| | | | |
|------------|---|---------------------|---------|
| Signature |  | Date (YYYY-MM-DD) | 2/28/06 |
| Name/Print | Paul W. Mitchell | Registration Number | 44,453 |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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|---|-----------------------------|-----------------|------------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Application Number | | 10803070 |
| | Filing Date | | 2004-03-16 |
| | First Named Inventor | Albert S. Wang | |
| | Art Unit | 2613 | |
| | Examiner Name | NHON THANH DIEP | |
| Attorney Docket Number | | MS1-0801USC4 | |

| U.S. PATENTS | | | | | | |
|-------------------|---------|---------------|------------------------|------------|---|--|
| Examiner Initial* | Cite No | Patent Number | Kind Code ¹ | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
| | 1 | 5606373 | | 1997-02-25 | Dopp, et al. | |
| | 2 | 6336990 | | 2002-01-01 | Chen, et al. | |

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

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|------------------------|-----------------|------------|
| Application Number | | 10803070 |
| Filing Date | | 2004-03-18 |
| First Named Inventor | Albert S. Wang | |
| Art Unit | 2613 | |
| Examiner Name | NHON THANH DIEP | |
| Attorney Docket Number | MS1-0801USC4 | |

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T ⁵ |
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